Turning risk into results
Enabling compliance and process optimization with SAP GRC
What we are seeing in the market

The role of automation in reducing costs and enabling the more effective use of resources has been widely recognized as a key success factor to managing compliance while adding value to the business. However, many companies have not been able to implement this principle effectively. Developing a clear road map with “quick wins” and strategically leveraging GRC technology to enable its implementation continue to be a challenge and an opportunity.

This is the right time to learn about opportunities to enable your compliance and process optimization program through an SAP GRC Process Control solution that can help:

- Lower the cost of compliance management and related audit activities through centralization and automation
- Expand and improve risk coverage through more strategic allocation of resources and better visibility into risks
- Increase effectiveness of business processes by implementing continuous monitoring techniques

Our recent EY Thinking Outside the SOX Box survey of 225 global executives found that 56% of respondents see cost/level of effort, innovation in control testing strategies and adding value to business as their key challenges. Fully deploying SAP GRC Process Control while focusing on defining your desired maturity model will help address these concerns.

What are the opportunities at your company?

Typical current state

- Manual testing of data and system controls
- Manual policy compliance management
- Fragmented, manual and ad hoc reporting
- Emphasis on detective controls
- Lack of confidence that all risks and controls were captured
- Point-in-time testing
- Too many requests from stakeholders and auditors
- Decentralized approach to managing audit results and documentation
- “Maintenance-only” mode mentality
- Not smart testing

Mature state

- Automatic detection of configuration and master data changes and anomalies
- Automated and centralized policy management
- Real-time anomaly and exception reporting resulting in faster remediation
- Continuous configured controls monitoring and automated testing
- Comprehensive reporting, including dashboards
- Continuous testing and integration with SAP GRC Access Control and Risk Management
- Business and audit efficiencies via SAP GRC automation and standardization
- Centralized documentation and reporting
- Focus on streamlined testing and process optimization
- Increased compliance governance maturity
SAP GRC Process Control can enable your risk agenda

Enhance risk strategy
- Improved alignment to the objectives and strategy of the business
- Improved visibility to risks that matter most to the organization
- Proactive identification of risks
- Enhanced decision-making

Embed risk management
- Comprehensive and continuous risk management and monitoring
- Central management of financial, operational and compliance risks and controls across organization

Improve controls and processes
- Better aligned risk coverage, including the identification of stronger, more pervasive controls
- Reduced level of effort associated with performing and testing controls
- Increased control and process efficiencies enabled through automation and continuous monitoring
- Improved control mix that addresses key business risks while driving process efficiencies

Optimize risk management functions
- Elimination of duplicate and fragmented risk management activities
- Increased integration and coordination among business, IT and compliance
- Sustainability of risk management process
- Effective top-down and bottom-up reporting

Resulting in the following benefits:

- Increased integration and coordination among business, IT and compliance
- Real-time notification of potential control failures based on established business rules
- Identification of production change anomalies that may indicate fraud through alerts
- Improved test effectiveness of configured controls with 100% coverage
- Improved operational efficiency through standardization
- Improved policy management process
- User-friendly reporting

- Reduced audit costs due to a reliable and automated environment
- Cost avoidance associated with audit failure
- Efficiencies associated with preparation and analysis of configured controls
- Reduction in the number of manual controls required to be designed and operated

- Continuous configured controls monitoring
- Enhanced visibility to process-related risk exposure and controls testing at the enterprise
- Real-time control exception reporting
- Early detection of configuration and master data changes
- Integrated Process Control, Access Control and Risk Management reporting
Next steps to improve your compliance management and process optimization landscape

**Rapid SAP process and control diagnostic**: provides accelerated current state assessment of SAP processes, controls and technology, allowing you to identify realizable value and develop a future state road map to achieve it.

**EY SAP performance diagnostic**: a tool that provides quantitative analysis and benchmarks of transactional changes and allows for top-down and bottom-up drill-down analysis. It can be used to guide Process Control rule development.

**SAP GRC demo environment**: demo environment for all the latest versions of software, including SAP GRC 10.0 for Access Control, Process Control, Risk Management and Global Trade Services.

**EY RiskUniverse**: industry-specific risk universes, process-normative models and key business risks linked to application-specific controls that can be used to customize SAP GRC demos.

**Why EY?**
- Global and flexible approach with a focus on SAP GRC
- Knowledgeable team with practical experience in process, risk and technology disciplines
- Industry-specific content and enablers
- Leading-practice assessment diagnostics and leverage models
- Service delivery model design and key performance indicators

**Our services**
- Rapid GRC technology diagnostic
- GRC technology vendor selection
- GRC technology implementation and assessments
- Risk transformation enabled by GRC technology