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AML Privacy Notice

Why do we need the information?

The information collected with this questionnaire will be used for EY to perform the client and its beneficial owner identification and verification as required by anti-money laundering legislation (Act No. 253/2008 Coll., on Selected Measures against Legitimization of Proceeds of Crime and Financing of Terrorism - "AML Act"). In addition, we also process identification and background information as part of our client acceptance procedures, which include our audit independence, conflicts check, reputational and financial checks, and to fulfill any other legal or regulatory requirements to which we are subject; the information is also needed for our finance and administration processes.

The checks we could perform include the following:

- ▶ Identity verification: proof of name and address
- ▶ Ultimate beneficial ownership of corporate and other legal entities
- ▶ Conflicts checks: to avoid a conflict of interest with any other client
- ▶ Anti-money laundering, proceeds of crime and terrorist financing checks
- ▶ Politically exposed persons (PEP) checks: those with prominent roles in government, judiciary, courts, central banks, embassies, armed forces and state-owned enterprises, including their family members and close associates
- ▶ Adverse media checks, in certain cases
- ▶ Government sanctions list checks
- ▶ Independence checks

These checks are made for legal, regulatory or business reasons and need to be repeated during the course of our engagement. As part of these checks, we might be required to process special category data (for example, to verify if you are a politically exposed person or to collect information about criminal convictions where this is required for anti-money laundering laws). It is important you provide us with all necessary information and documents as this affects our ability to provide services to you.

The data gathered will be treated with strict confidentiality and in accordance with the applicable anti-money laundering and data protection laws and professional regulations.

What is our legal ground for processing the information?

The legal ground for EY to process personal data contained herein is

- ▶ Compliance with a legal or regulatory obligation to perform client due diligence when entering into business relationship with a client as required by AML Act and legitimate interest in supporting other EY Member Firms identification efforts;
- ▶ Our legitimate interest in providing you with seamless, consistent, high-quality services and securing prompt payment of any fees, costs and debts in respect of our services;
- ▶ Our legitimate interest in understanding any conflict of interest or challenge with regard to independence legislation;
- ▶ Our legitimate interest in supporting other EY Member Firms identification efforts and compliance with legal or regulatory obligations;



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- ▶ Our legitimate interest in safeguarding EY against inadvertently dealing with the proceeds of criminal activities or assisting in any other unlawful or fraudulent activities (for example, terrorism)

Sharing information with other EY member firms

EY may share the information provided in this questionnaire with other firms from the EY global network for following reasons:

- ▶ the provision of the services;
- ▶ complying with regulatory and legal obligations to which we are subject;
- ▶ conflict checking and independence;
- ▶ risk management (including client acceptance procedures performed by other EY member firms) and quality reviews; and
- ▶ our internal financial accounting, information technology and other administrative support.

Only limited number of individuals in these other EY Member Firms, who might need to know the information to perform their functions, will have access to the data and will process it for the purposes as stipulated in this privacy notice.

EY takes appropriate security and legal precautions to safeguard the safety and integrity of personal data that is transferred within the EY organization. EY has implemented binding corporate rules (BCRs) that allow for global transfers within the EY organization of personal data originating in the EEA in accordance with applicable European privacy laws. The BCRs require all EY entities worldwide to use the same standards of protection for personal data. You can access our BCRs [here](#).

Your obligation to inform data subjects whose information you provide to us

You warrant that you will inform the relevant individuals that their data has been provided to EY in relation to the above purposes and that it will be recorded in the systems of EY and will be processed as described in this notice.

How you can contact us

Any questions, complaints or requests for exercising rights related to protection of personal data can be submitted to dataprotection@cz.ey.com.

More information on how EY processes personal data is available at [Privacy statement | EY - Czech Republic](#).